Citizen Audit.org

Form 990-PF

Department of the Treasury Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public. ► Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0052

2017

Open to Public Inspection

For cale	endar year 2017 or tax year beginning	, 2017,	and ending	Α	Employer identification num	nher
CRATI	ITUDE AMERICA, LTD			^	66-0789697	ibei
ROYA	L PALM PROFESSIONAL BUILDING			В	Telephone number (see inst	ructions)
9053	ESTATE THOMAS, SUITE 101				<u>340-779-2564</u>	
ST.	THOMAS, VI 00802			С	If exemption application is	pending, check here
C Cho	eck all that apply Initial return	Initial return of a form	per public charity	_		
G Cile	Final return	Amended return	ici pasiio ciiainy	ט	1 Foreign organizations, chec	k nere
	Address change	Name change	- f		2 Foreign organizations meet	
H Che		(c)(3) exempt private for	oundation (1	here and attach computation	
Γ	Section 4947(a)(1) nonexempt charitable tri	ust Other taxable p	rivate foundation	E	If private foundation status	
I Fair	market value of all assets at end of year J Acco	ounting method X Ca	ash Accruail	1	under section 507(b)(1)(A)	, check here
•		Other (specify)		F	If the foundation is in a 60	-month termination
<u>►</u> \$	3/33/331	column (d) must be on	cash basis)	L	under section 507(b)(1)(B)	, check here
Part I		(a) Revenue and	(b) Net investmen	nt	(c) Adjusted net	(d) Disbursements
	Expenses (The total of amounts in columns (b), (c), and (d) may not neces-	expenses per books	ıncome		ıncome	for charitable purposes
	sarily equal the amounts in column (a)					(cash basis only)
	(see instructions))					
ه ۱	Contributions, gifts, grants, etc., received (attach schedule) Check X if the foundation is not required to attach Sch B					
"(3 Interest on savings and temporary cash investments	144,578.	144,57			,
4	4 Dividends and interest from securities 5 a Gross rents	89,161.	89,16	<u>. 10</u>		. 1
*	b Net rental income					
P	or (loss) 6 a Net gain or (loss) from sale of assets not on line 10 .	899,417.			production of the second secon	SANDERS CONTRACTOR DESCRIPTION OF THE PROPERTY
R E V	b Gross sales price for all 3, 468, 333.	<u> </u>			K	IVED
¥	7 Capital gain net income (from Part IV, line 2)		899,41	<u>.7.</u>	im ¹	- more manufactured A
É N C) U	8 Net short-term capital gain.				8 AUS 1	4 7019 (0)
13 E	9 Income modifications	,			(m)	. 2010 W
Ş -	returns and allowances .		y .		7.5	N. UT
OOPKET.	b Less Cost of goods sold .					
- 13	C Gross profit or (loss) (attach schedule)					1
7	11 Other income (attach schedule)					1_
(4) 1 M	SEE STATEMENT 1	10,820.	10,82			. ,
1 1	12 Total. Add lines 1 through 11	1,143,976.	1,143,9	<u> 76.</u>	0.	
S	13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages	0.				
>	15 Pension plans, employee benefits.	<u>"</u>				
<u>.</u>	16 a Lacel food (attach capadida) SEE ST 2	712.	-			712.
(1) (1) (M	b Accounting fees (attach sch)					
I N	c Other professional fees (attach sch) .					
0 1	17 Interest					
PS ET RR	18 Taxes (attach schedule Xsee instrs)					
AATT	19 Depreciation (attach schedule) and depletion					
i i N V	20 Occupancy					
G E	21 Travel, conferences, and meetings					
A E N X D P	22 Printing and publications					
	23 Other expenses (attach schedule) SEE STATEMENT 3	238,174.				238,174.
E N S E S	24 Total operating and administrative	230,114.				
Ě	expenses. Add lines 13 through 23	238,886.				238,886.
-	25 Contributions, gifts, grants paid PART XV.	1,260,000.				1,260,000.
	26 Total expenses and disbursements. Add lines 24 and 25	1,498,886.		0.	0.	1,498,886.
	Add lines 24 and 25 27 Subtract line 26 from line 12:	1,430,000.		<u> </u>	· · · · · · · · · · · · · · · · · · ·	2, 250, 000.
	a Excess of revenue over expenses		1		1	
	and disbursements	-354,910.	1 142 0	76		· · · · · · · · · · · · · · · · · · ·
	b Net investment income (if negative, enter -0-)		1,143,9	<u>/0.</u>	0.	
	C Adjusted net Income (if negative, enter -0-) .		L		<u> </u>	

BAA For Paperwork Reduction Act Notice, see instructions.

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Page 2

Par	t II	Balance Sheets	column should be for end-of-year amounts only, (See instructions)	Beginning of year	415	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
	1		_			
	2		rary cash investments .	4,459,553.	3,437,853.	3,437,853.
	3	Accounts receivable				
	1	Less: allowance for				
	4					
		Less. allowance for	doubtful accounts •			
	5	Grants receivable				
	6	Receivables due from offi disqualified persons (atta	icers, directors, trustees, and other ach schedule) (see instructions)			
_	7	Other notes and loans red	cervable (attach sch) . •			
Ą	ŀ	Less. allowance for	doubtful accounts >			
Š	8	Inventories for sale	or use .			
A S S E T	9	Prepaid expenses a	and deferred charges .			
Ś	10	a Investments – U.S obligations (attach s	and state government schedule)			
		b Investments — corporate	stock (attach schedule) STATEMENT 4	4,805,254.	5,472,044.	5,426,011.
	Ι,	c Investments — corporate	bonds (attach schedule)			
	11	Investments - land	, buildings, and			
		equipment basis Less accumulated depres	ciation			أسا دين يا دينسديد د
	۱.,	(attach schedule) .				
	ì	Investments - mort				
	13		,			
	14	Land, buildings, and				
		Less: accumulated depred (attach schedule)	•			
		Total assets (to be	completed by all filers —) Also, see page 1, item I)	0.064.007	0.000.007	0.060.064
	17		and accrued expenses	9,264,807.	8,909,897.	8,863,864.
ī	18	Grants payable .	ind accided expenses			(
A B	19	Deferred revenue.				1
ĭ	20		ctors, trustees, & other disqualified persons .			
Ļ	ĺ		es payable (attach schedule)			· · · · · · · · · · · · · · · · · · ·
Ť	21	Other habilities (des				
Ĺ	22	Other habilities (des				
E S	23	Total liabilities (add	d lines 17 through 22)	0.	0.	
		Foundations that fo	bllow SFAS 117, check here			
		and complete lines	24 through 26, and lines 30 and 31.		Ì	
ΝF	24	Unrestricted		9,264,807.	8,909,897.	
E U	25	Temporarily restricted	ed			
ח	26	Permanently restrict	ted			,
A B S A L T A		Foundations that de and complete lines	o not follow SFAS 117, check here. Capable 117, check here.			4,1
ĒĽ	27	Capital stock, trust	principal, or current funds .			*1 - 1
SN	28		s, or land, bldg., and equipment fund			- 73 mil
С	29	·	nulated income, endowment, or other funds			AA-
O E R S	30	= :	fund balances (see instructions)	9,264,807.	8,909,897.	
	31		net assets/fund balances	9,264,807.	8,909,897.	
Par	t III	Analysis of Char	nges in Net Assets or Fund Balance			
1	Tota	I net assets or fund b	palances at beginning of year — Part II, colu	ımn (a), line 30 (must ad	ree with	
	end-	of-year figure reporte	ed on prior year's return)	, ([1]	9,264,80 <u>7</u> .
2		er amount from Part I,			2	-354,910.
3	Other	increases not included in l	ine 2 (itemize)		3	
4	Add	lines 1, 2, and 3			4	8,909,897.
5		ases not included in line 2	` ' =========		5	
6	Tota	net assets or fund b	palances at end of year (line 4 minus line 5)	- Part II, column (b), lii	ne 30 6	8,909,897.
BAA			TEEA03021 0	18/24/17		Form 990-PF (2017)

Form 990-PF (2017) GRATITUDE AMERICA, LTD 66-0789697 Page 3 Part Va Capital Gains and Losses for Tax on Investment Income (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) (C) Date acquired (d) Date sold (b) How acquired - Purchase (mo, day, yr) (mo, day, yr) D - Donation 1a SEE ATTACHED P b C ď е (f) Depreciation allowed (or allowable) (g) Cost or other basis (h) Gain or (loss) ((e) plus (f) minus (g)) (e) Gross sales price plus expense of sale 899,417 6,568,916. 7,468,333 b C d е Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (1) Gains (Col. (h) (j) Adjusted basis as of 12/31/69 gain minus col (k), but not less (k) Excess of col. (i) (i) FMV as of 12/31/69 than -0-) or Losses (from col (h)) over col. (j), if any 899,417. а b C d е If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss). If (loss), enter -0- in Part I, line 7 2 899,417. 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0-in Part I, line 8 0. Partiv Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) If section 4940(d)(2) applies, leave this part blank X No Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part Enter the appropriate amount in each column for each year; see the instructions before making any entries. (d) Distribution ratio (a) Base period years (b)
Adjusted qualifying distributions (c) Net value of Calendar year (or tax year beginning in) noncharitable-use assets (col (b) divided by col. (c)) 2016 477,501 9,603,702. 0.049721 2015 122,434 2,465,270. 0.049664 2014 2013 2012 2 2 Total of line 1, column (d) 0.099385 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years 3 0.049693 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5 4 9,134,556. 5 Multiply line 4 by line 3 <u>453,923.</u>

Enter 1% of net investment income (1% of Part I, line 27b)

11,440.

<u>465,363.</u>

1,498,886.

6

7

Add lines 5 and 6.

	has the foundation engaged in any activities that have not previously been reported to the IRS?	1 2	i	X	
	If 'Yes,' attach a detailed description of the activities.				ı
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3		X	
4:	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		X	
(olf 'Yes,' has it filed a tax return on Form 990-T for this year?	4b	N	/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X	
	If 'Yes,' attach the statement required by General Instruction T.		4	. 1	j
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		Ĭ,		
	By language in the governing instrument, or	- ,,			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict		. E.		
	with the state law remain in the governing instrument?	6	X		
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col. (c), and Part XV	7	X		
8 8	Enter the states to which the foundation reports or with which it is registered. See instructions.				
	NY	1			
- 1	o If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General				
	(or designate) of each state as required by General Instruction G? If 'No,' attach explanation	86	X	 	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5)				
	for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If 'Yes,' complete Part XIV	9		<u>X</u>	IJ!
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10	'	v	
BAA		orm 99 (LPF (2	X 2017)	
		-jiii ->>(/-1 \2	.017)	
				,	

Par	t VII-A	Statements Regarding Activities (continued)	 -		
11	At any ti within th	me during the year, did the foundation, directly or indirectly, own a controlled entity e meaning of section 512(b)(13)? If 'Yes,' attach schedule. See instructions	11	Yes	No X
12	Did the fo	oundation make a distribution to a donor advised fund over which the foundation or a disqualified person had privileges? If 'Yes,' attach statement See instructions	12		х
13	Did the f	oundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website				
14	The book		<u>)-779-2</u>	<u>564</u> _	
	Located		\ \-\-\-\-\-\-\-\-\-\-\-\-\-\-\-\-\-		
15		4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here	N/A	• •	
		er the amount of tax-exempt interest received or accrued during the year		Yes	N/A No
16	At any tir bank, se	ne during calendar year 2017, did the foundation have an interest in or a signature or other authority over a curities, or other financial account in a foreign country?	16	res	X
	enter the	instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' name of the foreign country			
Pai		Statements Regarding Activities for Which Form 4720 May Be Required			
		n 4720 if any item is checked in the 'Yes' column, unless an exception applies.	<u> </u>	Yes	No
1 a	-	e year, did the foundation (either directly or indirectly)	}		
	(1) Eng	age in the sale or exchange, or leasing of property with a disqualified person? . $igsqcup igvel{Yes} igvel{X} f I$	NO		
	dısq	ow money from, lend money to, or otherwise extend credit to (or accept it from) a ualified person? Yes XI			1
		ush goods, services, or facilities to (or accept them from) a disqualified person?	_	, ,	١ -
	(4) Pay	compensation to, or pay or reimburse the expenses of, a disqualified person?	40 ·	4	· . !
	(5) Tran	sfer any income or assets to a disqualified person (or make any of either available he benefit or use of a disqualified person)?	No .		9
	(6) Agre foun of g	be to pay money or property to a government official? (Exception. Check 'No' if the dation agreed to make a grant to or to employ the official for a period after termination overnment service, if terminating within 90 days.) Yes XI	No		
ı		nswer is 'Yes' to 1a(1)—(6), did any of the acts fail to qualify under the exceptions described in ons section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.	1 6	N	/A
	Organiz	ations relying on a current notice regarding disaster assistance, check here	Ì		1
•	Did the that wer	foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, e not corrected before the first day of the tax year beginning in 2017?.	10		X
2	Taxes o	n failure to distribute income (section 4942) (does not apply for years the foundation was a operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
;	At the e	nd of tax year 2017, did the foundation have any undistributed income (lines 6d Part XIII) for tax year(s) beginning before 2017?	No	* · ·	
		list the years ► 20 _ , 20 _ , 20			
ı	b Are ther	re any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to	, 1	, , ,	
	all years	s listed, answer 'No' and attach statement – see instructions)	. 2t	N	/A
•		ovisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
	_	_ , 20 , 20 , 20			. !
3	Did the enterpri	foundation hold more than a 2% direct or indirect interest in any business se at any time during the year?	No S		
1	(3) the L	did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation alified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or appending the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to			
	determi	ne if the foundation had excess business holdings in 2017.)	3 t	N	/A
4	a Did the charitab	foundation invest during the year any amount in a manner that would jeopardize its le purposes?.	4 a		Х
١	_ ieopardi:	foundation make any investment in a prior year (but after December 31, 1969) that could ze its charitable purpose that had not been removed from jeopardy before the first day of year beginning in 2017?	41		X
RΔ			Form 99	0-PF	(2017)

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Part VII-B Statements Regarding Activiti		14720 May Be Requ	uired (continued)	
5 a During the year, did the foundation pay or incur a				Yes No
(1) Carry on propaganda, or otherwise attempt	ot to influence legislatio	n (section 4945(e))? .	Yes X	No
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	lic election (see section ation drive?	4955), or to carry	. Yes X	No S
(3) Provide a grant to an individual for travel,	-	•	Yes X	No
(4) Provide a grant to an organization other than in section 4945(d)(4)(A)? See instructions	a charitable, etc., organi	zation described	Yes X	No
(5) Provide for any purpose other than religio educational purposes, or for the prevention	us, charitable, scientific n of cruelty to children	c, literary, or or animals?	Yes X	No
b If any answer is 'Yes' to 5a(1)—(5), did any of described in Regulations section 53 4945 or in a d See instructions	the transactions fail to current notice regarding d	qualify under the exceptions assistance?	otions	5b N/A
Organizations relying on a current notice rega	rding disaster assistanc	ce, check here	▶ □	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon If 'Yes,' attach the statement required by Regi	sibility for the grant?		N/A Yes	No
6 a Did the foundation, during the year, receive an on a personal benefit contract?	ny funds, directly or ind	rectly, to pay premiums	S ∏Yes X	No
b Did the foundation, during the year, pay prem	iums, directly or indirec	tly, on a personal bene		6b X
If 'Yes' to 6b, file Form 8870.			_	· , , ,
7 a At any time during the tax year, was the found				No
b If 'Yes,' did the foundation receive any proceed			•	I/A 76
Part VIII Information About Officers, D	irectors, Trustees,	Foundation Manag	gers, Highly Paid E	imployees,
and Contractors				
1 List all officers, directors, trustees, and found	dation managers and th	neir compensation. See	instructions.	
	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense account,
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation	other allowances
RICHARD KAHN	PRESIDENT	0.	0.	0.
575 LEXINGTON AVE, 4TH FLOOR NEW YORK, NY 10022	1.00			
DARREN K. INDYKE	VICE PRESIDE	0.	0.	0.
575 LEXINGTON AVE, 4TH FLOOR NEW YORK, NY 10022	1.00			1
ERIKA A. KELLERHALS	SECRETARY	0.	0.	0.
9053 ESTATE THOMAS, SUITE 101 ST. THOMAS, VI 00802	1.00	0.		0.
ERIKA A. KELLERHALS	TREASURER	0.	0.	0.
9053 ESTATE THOMAS, SUITE 101	1.00			
ST. THOMAS, VI 00802				
2 Compensation of five highest-paid employees (of	her than those included	on line 1 – see instruction	ns). If none, enter 'NONE	
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d)Contributions to employee benefit plans and deferred	(e) Expense account, other allowances
NONE			compensation	
				
Total number of other employees paid over \$50,000)		•	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly and Contractors (continued)	Paid Employees,
3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NO	NE.'
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE	
]
Total number of others receiving over \$50,000 for professional services	. • 0
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	<u> </u>
BAA	6 . Form 990-PF (2 0 17)
	,_ · · · ,

The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4

Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b. See instructions

BAA

b Cash distribution test (attach the required schedule).

Adjusted qualifying distributions. Subtract line 5 from line 4

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1,498,886.

1,487,446.

11,440.

3 a 3ь

4

5

6

1 Distributibile amount for 2017 from Part XI, line of 7 2 throatbridge amount for 2016 row part in 2016 corpus 2 throatbridge amount for 2016 only 3 Enter amount for 2016 only 5 Total of Innes 3 at two upin e 5 From 2013 6 From 2015 6 From 2016 6 From 2016 7 Total of Innes 3 at two upin e 7 Applied to 2016 for 2017 from Part XI, line 4; * \$ 1,498,886. 8 Applied to 2016, but not more of prior years (Election required—see instructions) 6 From 2016 7 Total of Innes 3 at two upin e 8 Applied to 2016, but not more inflam ine 2a. 9 Applied to 2016, but not more inflam ine 2a. 9 Applied to 2016 attributed income of prior years (Election required—see instructions) 9 Created and search —see instructions of the search —see instructions	Form 990-PF (2017) GRATITUDE AMERICA, 25	tions)					(4)	
1 Distributible amount for 2017 from Part XI, Increase and the property of 2015 on the property of 201	Part XIII Undistributed Income (see instruc		(1	b)	(0	2)	(d) 2017	
1 Dishributable amount for 2017 from Part XI, line 7 2 thinstitude accent, if any, is of the end of 2017: a Enter amount for 2015 cnby b Task for nor years: 20 30 20 a From 2013 c From 2013 c From 2015 d From 2016 e From 2016 f From 2016 e From 2016 f From 2017 f From 2016 f From 2		\ - \	Years pri	or to 2016		-		
1 Distributable amount for 2017 from Part XI, Inter 2 1 Wederhold means, if any, as of the end of 2017, a Enter amount for 2016 only bridging my pash: 72 a. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3.	<u> </u>		 			}	445,	288.
Linder between it any, as of the end of 2017: a Einter amount for 2015 only b Totals for proyent? 3, 20, 20 5 Excess distributions carproyent, 4 ms, to 2017: b From 2013 c From 2016 c From 2017 c From 2016 c Fr	the amount for 2017 from Part XI,							
2 Widesthalads income, if any, as of he and 60/12: a Enter amount for 2016 only 5 Total for pary years: 20 _ 20 _ 32 5 Excess distributions carpover, if any, to 2017: b From 2014 d From 2015 e From 2016	1 Distributable amount for 20			_				
a Enter amount for 2010 substituted trials for private the private trials for private tri	Indistributed income, if any, as of the end of 2017:							
S Excest distributions carryover, if any, to 2017: a From 2012 b From 2013 c From 2015 c From 2016 c From 2017 c From 2016 c From 2017 c From 2016 c From 2017 c From 2016 c	- Enter amount for 2016 only			0.				
S Exest distributions raylory is all, as a serior 2012 b From 2015 c From 2016 d Qualifying distributions or 2017 from Part XII, Inne 4: "> XII, Inne 4: "XII, Inne 4: "\ XII, Inne 4: "X Inne 4:	- 1 1 (1		1
a From 2013 b From 2015 c From 2015 c From 2015 e From 2015 c From 2016 c From 2015 c From 2016 c From 2017 c From 2017 c From 2018 c From 2018 c From 2018 c Adjusted to 2016, but not more than line 2a b Applied to 2016, but not more than line 2a b Applied to undistributed income of prior years (Election required — see instructions) c Freade as distributions out of corpus c Election required — see instructions) d Applied to 2017 distributable amount e Fernaming amount distributed out of corpus c Election required — see instructions) d Applied to 2017 distributable amount e Fernaming amount distributed out of corpus c From 2017 d From 2018 c From	3 Excess distributions carryover, it any, to be the]				1
6 From 2014 6 From 2015 6 From 2016 7 Total of irines 3a through 6. 2 Caulifying distributions for 2017 from Part XII, Inc. 4: \$ \$ 1,498,886. 3 Applied to 2016, but not more than line 2a. Cilicetion required — see instructions) 6 Treated as distributions out of corpus (Election required — see instructions) 6 Treated as distributions out of corpus (Election required — see instructions) 7 Amount of the part of th	a From 2012			Ì		1		
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10 Analysis of line 9 a Excess from 2013 b Excess from 2014 c Excess from 2015. d Excess from 2016 275, 225. Form 990-PF (2017)	9 Excess distributions carry Subtract lines 7 and 8 from line 6a	1,32	× <u>, ×==</u> -		}			
a Excess from 2013 b Excess from 2014 c Excess from 2015 d Excess from 2016 775, 225 Form 990-PF (2017	Analysis of line 9		1	-	1			
b Excess from 2014 c Excess from 2015. d Excess from 2016 275, 225 . Form 990-PF (2017)	a Excess from 2013		1	*	1			
c Excess from 2015	h Excess from 2014		1		1		\	
d Excess from 2016	- 4-nm 2015	005	1		1			
	2016 L 2/3	, 225.	1				For	m 990-PF (2017
	e Excess from 2017 . 1,053	,598.]						

Form 990-PF (2017) GRATITUDE AMERICA Part-XIV		iations and Davi	· \/II A guestion	66-078969	
1 a If the foundation has received a ruling or dete					N/A
is effective for 2017, enter the date of the	ruling			· _ · · · •	4042(VE) A
b Check box to indicate whether the foundat 2a Enter the lesser of the adjusted net		rating foundation of		4942(j)(3) or	4942(j)(5)
income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2017	(b) 2016	Prior 3 years (c) 2015	(d) 2014	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in fine 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets (2) Value of assets qualifying under	and the state of t				<u> </u>
section 4942(j)(3)(B)(i) b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).					
(3) Largest amount of support from an exempt organization	1				
(4) Gross investment income					
Part XVA Supplementary Information assets at any time during the	(Complete this e vear – see in	part only if the structions.)	foundation had	\$5,000 or more	in
Information Regarding Foundation Manage List any managers of the foundation who have close of any tax year (but only if they have NONE	contributed more th	nan 2% of the total co than \$5,000). (See	ontributions received section 507(d)(2))	by the foundation bef	ore the
b List any managers of the foundation who own a partnership or other entity) of which the NONE	10% or more of the foundation has a 1	stock of a corporatio 0% or greater intere	n (or an equally large est	portion of the owner	ship of
2 Information Regarding Contribution, Grant, G Check here ► X if the foundation only ma requests for funds. If the foundation makes 2a, b, c, and d See instructions	kes contributions to grants, etc.	preselected charitable to individuals or or	ganizations under o	ther conditions, con	
a The name, address, and telephone number or	email address of the	e person to whom ap	plications should be a	addressed [.]	
b The form in which applications should be s	ubmitted and infor	mation and materia	ils they should inclu	de:	
c Any submission deadlines:					
d Any restrictions or limitations on awards, s	uch as by geograp	hical areas, charita	ble fields, kınds of ı	nstitutions, or other	factors:
RAA	TEC				orm 990-PF (2017)

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3	Grants and Contributions Paid During the Ye	ar or Approved for Fut	ure Paymen	t	
	Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
		or substantial contributor	recipient		
	Name and address (home or business) Paid during the year STATEMENT 5	or substantial contributor	recipient	Continuation	
	Total			► 3a	1,260,000.
Ь	Approved for future payment		-		
BAA	Total		• • •		Farm 000 PF (0017)

	TAVI-A Analysis of income-Producing					
	er gross amounts unless otherwise indicated.	(a) Business code	d business income (b) Amount	(c) Exclusion	section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See Instructions)
1	Program service revenue:			code		(000 111311 40110113)
а	a					
b	b					
C	G					
d	d					
е	e					
f				<u> </u>		
•	g Fees and contracts from government agencies					
2	Membership dues and assessments				·	
3	Interest on savings and temporary cash investments			14	144,578.	
4	Dividends and interest from securities			14	89,161.	
	` ,		77、安东东西东	x	- 1	1 2 4 1
	a Debt-financed property					
	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income		·	14	10,820.	<u></u>
8	Gain or (loss) from sales of assets other than inventory			18	899,417.	<u> </u>
9	Net income or (loss) from special events		75			
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					ger A No
а	a					
b	b					
С	c					
d	d					
е						
	6 1 1 1 4 1 4 1 4 5 4 5 1 4 5	1		1.5		
12	Subtotal Add columns (b), (d), and (e)	0 N = 2		41 % 7	1,143,976.	
13	Total. Add line 12, columns (b), (d), and (e)	<u> </u>		41 % 7	1,143,976.	1,143,976.
13 (See	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate.	ations.)			13	1,143,976.
13 (See	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ¹ Relationship of Activities to t	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ¹ Relationship of Activities to t	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	
13 (See Par Line	Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculant XVI-B ^c Relationship of Activities to the No. Explain below how each activity for which accomplishment of the foundation's exemptions.	ations.) he Accompli		t Purpos	13 . es	1,143,976.

Form 990-PF (2017) GRATITUDE AMERICA, LTD 66-0789697 Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

							Yes	No
describe	organization directly ed in section 501(c) o to political organizat	of the Code (otl	ngage in any of the following w her than section 501(c)(3) orga	ith any other o inizations) or ir	rganization n section 527,			
_			a noncharitable exempt organi	zation of:)			
(1) Cas	, ,	TOURIGE HOLL TO	a nonchantable exempt organia			1 a (1)		- X
• •				•	` ' '	1 a (2)		X
	er assets .	•	••	•	, , ,	1 4 (2)		
	ransactions:					1 . 4		
` '			npt organization .		• • • • •	1 b (1)	\vdash	X
_ /			ole exempt organization .	•	•	1 b (2)	<u> </u>	X
• •	ntal of facilities, equip		assets .		` '	1 b (3)	 	X
	mbursement arrange			•		7 b (4)	 	<u>X</u>
	ins or loan guarantee				•• • •	1 b (5)		<u>X</u>
• • •			• • • • • • • • • • • • • • • • • • • •			1 b (6)	 	<u>X</u>
c Sharing	of facilities, equipme	ent, mailing list	s, other assets, or paid emplo	yees	• • • • • • • • • • • • • • • • • • • •	1 c		X
-1 14 15				a Calumn (h)	should always show the form	امر المارية		
the good any trar	nswer to any or the a ds, other assets, or ser nsaction or sharing a	vices given by t rrangement, sh	complete the following schedul he reporting foundation. If the foundation is the foundation of the value of	indation receive the goods, other	d less than fair market value in er assets, or services received	d.	ue oi	
(a) Line no.	(b) Amount involved	(c) Name of	noncharitable exempt organization	(d) Descri	ption of transfers, transactions, and s	haring arrar	ngement	s
N/A								
				 				
				 				
								
				 				
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		ļ <u>.</u>		 				
								
								
		<u></u>		<u> </u>				
describ	ed in section 501(c) i	of the Code (ot	with, or related to, one or more ther than section 501(c)(3)) or i	tax-exempt orga in section 527?	nizations	. Yes	X	No
	complete the follow							
	a) Name of organizati	on	(b) Type of organizatio	<u>n</u>	(c) Description of rela	itionsnip		
N/A								
			<u> </u>					
	//							
Sign Here	Lulia	of preparer (other t	ned this return, including accompanying shan taxpayer) is based on all information $8/3/2$	Olg PRES	ments, and to the best of my knowledge as any knowledge IDENT	May the interest this return preparer See instr	IRS disci n with th shown b uctions	uss
Sign	ature of officer or trustee		Date	Title			Yes	No
	Print/Type preparer's nar		Preparer's signal die	Date	Check III	PTIN		
Paid	DAVID M. HAS	SSON	DAYID M. HASSON		2 self-employed	P00035	<u> 5228</u>	
Preparer	Firm's name	ECKENDORF	HASSON AND REILLY	CPA'S LKC	/ Firm's EIN ▶ 90-0	436485		
Use Only			S AVE STE 1W5					
,	-	AKE SUCCE			Phone no 5164	888400		
BAA						Form 99	0-PF (2017)
							•	

2017 ·	FEDERAL STATEMENTS	PAGE 1
CLIENT GRATITUD	GRATITUDE AMERICA, LTD ROYAL PALM PROFESSIONAL BUILDING	66-0789697
3/01/18	ROTAL FALM FROFESSIONAL BUILDING	05:02PN
STATEMENT 1 FORM 990-PF, PART I, LINE 11 OTHER INCOME		00.02
	(A) (B) NET REVENUE INVESTMENT PER BOOKS INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	TOTAL \$ 10,820. \$ 10,820. \$ 10,820.	
STATEMENT 2 FORM 990-PF, PART I, LINE 16/ LEGAL FEES	A	
LEGAL FEES	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTED PER BOOKS INCOME NET INCOM \$ 712. TOTAL \$ 712. \$ 0. \$	
STATEMENT 3 FORM 990-PF, PART I, LINE 23 OTHER EXPENSES		
CONSULTING EXPENSE FEDERAL EXCISE TAX MISCELLANEOUS FEES. STATE FILING FEES	(A) (B) NET (C) EXPENSES INVESTMENT ADJUSTEI PER BOOKS INCOME NET INCOM \$ 235,000. 2,451. 473. 250. TOTAL \$ 238,174. \$ 0. \$	
STATEMENT 4 FORM 990-PF, PART II, LINE 10	В	v. <u>v 230,114.</u>
CORPORATE_STOCKS	STOCKS VALUATION BOOK METHOD VALUE	FAIR MARKET VALUE
PUBLICLY TRADED STOCKS	COST \$ 5,472,044.	

2017 '

8/01/18

FEDERAL STATEMENTS

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CLIENT GRATITUD

GRATITUDE AMERICA, LTD ROYAL PALM PROFESSIONAL BUILDING

66-0789697

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STATEMENT 5 FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BRUCE & MARSHA MOSKOWITZ FOUNDATION 223 SUNSET AVE., SUITE 200 PALM BEACH FL 33480	NONE	501 C 3	GENERAL CHARITABLE PURPOSES	\$ 50,000.
CROHN'S COLITIS FOUNDATION OF AMERICA 120 BROADWAY NEW YORK NY 10271	NONE	501 C 3	GENERAL CHARITABLE PURPOSES	10,000.
ASSOCIATION MIND EDUCATION ROME ITALY	NONE	501 C3	GENERAL CHARITABLE PURPOSES	60,000.
CANCER RESEARCH WELLNESS INSTITUTE 316 MD VALLEY CENTER NO 230 CARMEL CA 93922		501 C 3	GENERAL CHARITABLE PURPOSES	75,000.
CHOPRA FOUNDATION 2013 COSTA DEL MAR RD CARLBAD CA 92009		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
FRIENDS OF ELTON JOHN 1 BLYTHE RD LONDON UNITED KINGDOM		501 C 3	GENERAL CHARITABLE PURPOSES	15,000.
INDEPENDENT FEATURE PROJECT 30 JOHN ST BROOKLYN NY 11201		501 C 3	GENERAL CHARITABLE PURPOSES	10,000.
INTERNATIONAL PEACE INSTITUTE INC 777 UNITED NATIONS PLAZA, 4TH FLOOR NEW YORK NY 10017		501 C 3	GENERAL CHARITABLE PURPOSES	375,000.
JTCC 5200 PAINT BRANCH PARKWAY COLLEGE PARK MD 20740		501 C 3	GENERAL CHARITABLE PURPOSES	25,000.
KUHN FOUNDATION 357 WAVERLY DR PASADENA CA 91105		501 C 3	GENERAL CHARITABLE PURPOSES	150,000.
MET ORCHESTRA MUSICIAN PO BOX 230549		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
MIT 600 MEMORIAL DR W98-308 CAMBRIDGE MA 02139		501 C 3	GENERAL CHARITABLE PURPOSES	150,000.

2017

FEDERAL STATEMENTS

PAGE 3

CLIENT GRATITUD

GRATITUDE AMERICA, LTD ROYAL PALM PROFESSIONAL BUILDING

66-0789697

8/01/18

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STATEMENT 5 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE GRANT	OF AMOUNT
NAUTILUS THINK INC 360 W. 36TH ST 7S NEW YORK NY 10018		501 C 3	GENERAL CHARITABLE PURPOSES	\$ 25,000.
THE FILM SOCIETY OF LINCOLN CENTER 70 LINCOLN CENTER PLAZA, 4TH FLOOR NEW YORK NY 10023		501 C 3	GENERAL CHARITABLE PURPOSES	25,000.
THE INTER POLICE & FIRE CHAPLAINS ASSOC. 9393 PARDEE RD TAYLOR MI 48180		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
THE LEUKEMIA & LYMPHOMA SOCIETY 3 INTERNATIONAL DR RYE BROOK NY 10573		501 C 3	GENERAL CHARITABLE PURPOSES	5,000.
THE O'GORMAN GARDEN 23 WEST 129TH STREET NEW YORK NY 10027		501 C 3	GENERAL CHARITABLE PURPOSES	30,000.
UJA FEDERATION OF NEW YORK DIVISION SUITES 296 130 E. 59TH ST NEW YORK NY 10002		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
UNIVERSITY OF ARIZONA FOUNDATION 1609 NORTH WARREN, SUITE 105B TUCSON AZ 85724		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
WALK MS 167 DEEPDALE DR MIDDLETOWN NJ 07748		501 C 3	GENERAL CHARITABLE PURPOSES	5,000.
				TOTAL \$ 1,260,000.

\$.000 000 APPIAN CORPORATION CLA P 11/15/2017 11/15/2017 99.007 50.000 0 13	Quantity	Name	(b) How Acquired	(c) Date Acquired	(e) Date Sold	(f) Gross Sales Price	(g) Cost or other Basis	(h) Gain or (loss)
1,000 000 ARBMING SUPPING CORPORATION P 11/18/2017 10/30/2017 29,487 26,000 (527) 1,000 000 ARBMING SUPPING CORPORATION P 10/18/2017 11/10/2017 11/10/2017 45,668 46,000 668 1,000 000 ARBMING SUPPING	5,000 000 APPIA	N CORPORATION CL A	P	11/16/2017	11/16/2017	99,907	101,250	(1,343)
1.000 000 ATEN INC \$3 93 1-01-65 P 13/35/1017 14/36/1017 14-54 25,000 68-81 1.000 000 BARNOWICH INC CLA P 11/10/2017 11/10/201	1,000 000 AQUA	NTIA CORP	Р	11/3/2017	11/3/2017	9,091	9,000	91
5,000 000 BANGWIDTH INC CLA	5,000 000 ARDM	ORE SHIPPING CORPORATION	Р	11/28/2017	11/30/2017	39,543	40,500	(957)
1.00 000 GRANDWITH MC CL A	10,000 000 AT&T	INC 5 350 11-01-66	P	10/25/2017	10/30/2017	249,477	250,000	(523)
5,000 000 BURNSWICK CORP	5,000 000 ATENT	OSA	Р	11/10/2017	11/10/2017	45,668	45,000	668
1,000 000 CARA SYSTEMS INC	1,000 000 BAND	WIDTH INC CL A	Ρ	11/10/2017	11/10/2017	21,454	20,000	1,454
1,000 000 CATALENT INC P 1/27/2017 12/8/017 22.04 18,000 3,3 1,000 000 DENEAL THERAPPUTICS INC P 12/8/2017 12/8/2017 22.04 18,000 4,204 1,000 000 DESEGRA COM CORP P 8/70/2017 9/20/2017 30,227 2,000 4,270 5,000 000 DESEGRA COM CORP P 8/70/2017 12/8/2017 116,803 130,000 4,270 5,000 000 DESEGRA COM CORP P 1/27/2017 10/27/2017 10,803 130,000 5,000 000 DESEGRA COM CORP P 1/27/2017 10/27/2017 10,803 130,000 6,521 5,000 000 DESEGRA COM CORP P 1/27/2017 10/27/2017 10,803 130,000 6,521 1,000 000 DESEGRA COM CORP P 1/27/2017 10/27/2017 10/27/2017 10,200 6,521 1,000 000 DESEGRA COM CORP P 1/27/2017 10/27/2017 45,999 5,000 (L) 1,000 000 GODADOY INC CL A P 1/27/2017 11/27/2017 45,999 5,000 6,901 6,900 DESEGRA COM CORP P 1/27/2017 11/27/2017 45,999 5,000 6,901 6,900 DESEGRA COM CORP P 1/27/2017 11/27/2017 12,366 115,000 6,901 6,900 DESEGRA COM CORP P 1/27/2017 11/27/2017 12,366 115,000 6,901 6,900 DESEGRA COM CORP P 1/27/2017 11/27/2017 12,366 115,000 6,901 6,900 DESEGRA COM CORP P 1/27/2017 11/27/2017 12,366 115,000 6,901 6,900 DESEGRA COM CORP P 1/27/2017 11/27/2017 12,366 115,000 6,901	5,000 000 BRUNS	SWICK CORP	Р	9/11/2017	10/25/2017	284,787	264,493	20,294
1,000 000 DENALI THERAPEUTICS INC 1,000 000 DESPEGAL COM CORP 2,000 000 PORSESCUT TECHNOLOGISS INC 1,000 000 GORADOTYINC CL A 1,000 000 GORADOTYINC	1,000 000 CASA 9	SYSTEMS INC	P	12/15/2017	12/15/2017	13,954	13,000	954
1,000 000 DESPEGAR COM COPP	1,000 000 CATAL	ENT INC	Р	9/27/2017	9/27/2017	39,103	39,100	3
\$,000 000 EDITAS MEDICINE INC P 12/5/2017 12/6/2017 12/6/803 130,000 (3.157) 2,000 000 FORESCUT TECHNOLOGIS INC \$00 000 FORESCUT TECHNOLOGIS INC \$100 000 FORESCUT TECHNOLOGIS INC \$11/8/2017 12/9/2017 50,921 40,000 6921 1,000 000 GOOADDY INC CL A \$11/8/2017 11/9/2017 46,383 47,320 (937) 1,000 000 GOOADDY INC CL A \$11/8/2017 11/9/2017 46,383 47,320 (937) 1,000 000 GOOADDY INC CL A \$11/8/2017 11/9/2017 12/8/86 115,000 8,866 \$5,000 000 HOULHAN LOKEY INC CL A \$11/8/2017 11/9/2017 207,035 210,000 (2.965) 2,500 000 IQVIA HOLDINGS INC \$11/8/2017 11/8/2017 11/3/2017 207,035 210,000 (2.965) 2,500 000 IQVIA HOLDINGS INC \$11/8/2017 11/8/2017 11/3/2017 207,035 210,000 (2.965) 3,500 000 IQVIA HOLDINGS INC \$11/8/2017 11/8/2017 11/3/2017 255,638 255,625 13 3,000 000 JANEN TECHNICY INC A \$11/8/2017 11/8/2017 11/3/2017 255,638 2155,625 13 3,000 000 JANEN TECHNICY INC A \$11/8/2017 11/8/2017 11/3/2017 11/3/2017 41,372 40,000 13,372 3,000 000 JANEN TECHNICY INC A \$11/8/2017 11/8/2017 11/3/2017 31,111 24,000 7,111 3,000 000 NASRIVA MINERAPEUTICS AG \$10/97/2017 9/20/2017 8,188 8,500 (312) 3,000 000 JANEN TECHNICY INC A \$10/97/2017 11/3/2017 11,000 11/2017 11,000 11/20	1,000 000 DENAL	LI THERAPEUTICS INC	P	12/8/2017	12/8/2017	22,204	18,000	4,204
2,000 000 PORESCUT TECHNOLOGIES INC P 10/27/2017 10/27/2017 50,921 44,000 6,921 500 000 POUR SEASONS ED CAYMAN INC ADR P 11/8/2017 11/9/2017 4,999 5,000 (1) 1,000 000 GOADQY INC CLA P 12/1/2017 11/9/2017 123,866 115,000 8,866 5,000 000 HOULHAN LOKEY INC CLA P 10/25/2017 10/26/2017 207,035 210,000 (1,0,65) 2,500 000 INVIA HOLDINGS INC P 11/8/2017 11/2/2017 295,638 255,625 13 5,000 000 INVIA HOLDINGS INC P 11/8/2017 11/2/2017 295,638 255,625 13 5,000 000 INVIA HOLDINGS INC P 11/8/2017 11/2/2017 295,638 255,625 13 5,000 000 INVIA HOLDINGS INC P 11/8/2017 11/1/2017 195,939 195,000 39 5,000 000 INVIA HOLDINGS INC P 11/8/2017 11/1/2017 195,939 195,000 39 5,000 000 INVIA HOLDINGS INC P 11/8/2017 11/1/2017 195,939 195,000 39 5,000 000 INVIA HOLDINGS INC P 11/8/2017 11/1/2017 11/1/2017 195,939 195,000 39 1,000 000 MONGOOB INC CLA P 10/19/2017 10/3/2017 31,111 24,000 7,111 1,000 000 MONGOOB INC CLA P 10/19/2017 10/3/2017 31,111 124,000 7,111 1,000 000 MARBIVAT TEKENBEVITICS AG P 10/27/2017 10/3/2017 16,544 16,000 654 2,000 000 MONGOOB INC CLA P 10/27/2017 10/3/2017 10/5,541 110,000 654 2,000 000 MONGOOB INC CLA P 11/15/2017 11/17/2017 10/5,511 10/5,700 367 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS IP 11/15/2017 11/17/2017 10/5,511 10/5,700 367 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS IP 11/15/2017 11/17/2017 10/5,511 10/5,700 367 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS IP 11/15/2017 11/17/2017 10/5,511 11/5,500 11/15/501 11/17/2017 10/5,501 11/5,500 367 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS INC P 11/15/2017 11/17/2017 11/17/2017 11/5,599 128,750 (17.73) 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS INC P 11/15/2017 11/17/2017 11/5/2017 11/5/301 11/5,500 367 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS INC P 11/15/2017 11/17/2017 11/5/3017 11/5/301 11/5,500 367 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS INC P 11/15/2017 11/17/2017 11/5/3017 11/5/301 11/5/301 11/5/301 11/5/300 367 5,000 000 ONENTEGIAN CRUISE LINE HOLDINGS INC P 10/18/2017 11/5/3017 11/5/3017 11/5/3017 11/5/301 11	1,000 000 DESPE	GAR COM CORP	P	9/20/2017	9/20/2017	30,827	26,000	4,827
11/8/2017 11/9/2017 4,999 5,000 (1)	5,000 000 EDITAS	S MEDICINE INC	P	12/5/2017	12/6/2017	126,803	130,000	(3,197)
1,000 000 GODADDY INC CLA P 12/4/2017 11/5/2017 46,383 47,320 (937) 1,000 000 GW PHARMACEUTICALS PLC ADR P 12/7/2017 12/5/2017 12/3,686 115,000 (9,656) 2,500 000 IQUULIHAN LOKEY INC CLA P 10/5/2017 10/5/2017 27,005 21,000 (9,666) 2,500 000 IQUULIHAN LOKEY INC CLA P 10/5/2017 11/3/6/2017 25,538 25,635 13 5,000 000 JAMPU TECHNICY INC CA P 11/4/6/2017 11/4/6/2017 159,039 195,000 39 5,000 000 JAMPU TECHNICY INC CA P 11/4/6/2017 11/4/6/2017 13/14/1/2017 195,039 195,000 13/372 1,000 000 JAMPU TECHNICY INC CA P 11/4/6/2017 11/4/6/2017 11/4/6/2017 11/4/6/2017 11/4/6/2017 11/4/6/2017 11/4/6/2017 11/4/6/2017 11/4/6/2017 11/4/201	2,000 000 FORES	COUT TECHNOLOGIES INC	P	10/27/2017	10/27/2017	50,921	44,000	6,921
1,000 000 GW PHARMACEUTICALS PLC ADR P 11/7/2017 12/7/2017 207,035 210,000 (2,965) 5,000 000 HOULHAN LOKEY INC CL A P 107/5/2017 10/76/2017 207,035 210,000 (2,965) 2,500 000 GUVA HOLDINGS INC P 11/8/2017 11/4/7/2017 195,039 195,000 39 5,000 000 JAMES RIV GROUP PLOES LTD P 11/8/2017 11/4/7/2017 195,039 195,000 39 5,000 000 JIANPU TECHNIGY INC A P 11/16/2017 11/16/7/2017 11/16/7/2017 41,372 40,000 1,372 1,000 000 MARS RIV ADRIGUED ST. A P 10/19/2017 11/16/7/2017 11/16/7/2017 41,372 40,000 7,171 1,000 000 NASRIVA THERAFEUTICS AG P 9/2/0/2017 10/19/7/2017 18,188 8,500 (312) 1,000 000 NGARS RISODIRCES SA P 10/2/7/2017 10/27/7/2017 16,654 16,000 654 2,000 000 NGARSEGIAN CRUISE LINE HOLDINGS P 11/15/7/2017 11/17/7/2017 16,657 16,000 (189) 1,000 000 NG ASSET MANAGEMENT PLC P 11/15/2017 11/17/7/2017 16,667 15,700 367 5,000 000 ORIGINA PLIOSIS INC P 11/15/2017 11/17/2017 15,967 15,700 367 5,000 000 ORIGINA PLIOSIS INC P 11/15/2017 11/17/2017 15,999 122,750 (27,51) 5,000 000 ORIGIN ENGINEERED CARBONS S A P 12/4/2017 12/4/2017 12/5/301 116,520 41 5,000 000 ORIGIN ENGINEERED CARBONS S A P 11/1/2017 11/8/2017 11/8/2017 116,520 41 5,000 000 ORIGIN ENGINEERED CARBONS S A P 11/1/2017 11/8/2017 11/8/2017 116,520 41 5,000 000 PATTEM ENERISE GROUP INC CL A P 10/18/2017 10/18/2017 11/8/2017 116,291 116,290 41 5,000 000 PATTEM ENERISE GROUP INC CL A P 10/18/2017 10/18/2017 80,781 60,000 12,308 1,000 000 RIVE BOUDHAIN CA ADR P 10/18/2017 10/18/2017 80,781 60,000 12,308 1,000 000 RIVE BOUDHAIN CA ADR P 10/18/2017 10/18/2017 30,810 17,000 000 1,000 BOUR SEE GROUP INC P 10/18/2017 10/18/2017 30,810 17,000 000 1,000 BOUR SEE GROUP INC P 10/18/2017 10/18/2017 38,81 3,750 84 2,500 000 QUIDIAN INC ADR P 10/18/2017 10/18/2017 3,881 3,750 84 2,500 000 GROUP BOUDHAIN CA ADR P 10/18/2017 10/18/2017 45,288 40,000 12,533 5,000 000 RIVE BOUCATION INC P 10/18/2017 11/18/2017 13,886 40,000 12,533 5,000 000 RIVE BOUCATION INC P 11/15/2017 11/15/2017 45,288 40,000 5,388 1,000 000 SENDGRID INC P 11/15/2017 11/15/2017 45,388 40,000 5,388 1,000 000 SEND	500 000 FOUR	SEASONS ED CAYMAN INC ADR	Р	11/8/2017	11/9/2017	4,999	5,000	(1)
\$,000 000 HOULIHAN LOKEY INC CLA P 10/35/2017 10/36/2017 25,638 25,625 13 5,000 00 [QUIA] HOULIHAS INC P 11/38/2017 11/38/2017 25,638 25,625 13 5,000 000 [AMASE RIV GROUP HIGGS LTD P 11/38/2017 11/36/2017 11/36/2017 15,638 25,625 13 5,000 000 [AMASE RIV GROUP HIGGS LTD P 11/38/2017 11/36/2017 11/36/2017 14,322 14,000 39 5,000 000 [AMASE RIV GROUP HIGGS LTD P 11/36/2017 11/36/2017 11/36/2017 14,322 14,000 7,111 1,000 000 MAGRIVA THERAPEUTICS AG P 10/36/2017 39/20/2017 10/39/2017 16,654 16,000 654 12,000 000 MAGRIVA THERAPEUTICS AG P 19/20/2017 10/27/2017 16,654 16,000 654 12,000 000 MASE RESOURCES SA P 10/27/2017 10/27/2017 16,654 16,000 654 11/36/2017 11/37/2017 16,067 15,700 367 15,000 000 GNAW GRAVE GROUP LONG P 11/35/2017 11/37/2017 16,067 15,700 367 5,000 000 GNAW GRAVE GROUP CREE CARGIVAS SA P 11/36/2017 11/37/2017 11/37/2017 11.5067 15,700 367 5,000 000 GROUN ENGINEERED CARGIVAS SA P 12/47/2017 12/37/2017 11/36/2017	1,000 000 GODA	DDY INC CL A	Р	12/4/2017	12/5/2017	46,383	47,320	(937)
2,500 000 IQVIA HOLDINGS INC P 11/28/2017 11/28/2017 155,638 255,625 13 5,000 000 JAMES RIV GROUP HLDGS LTD P 11/8/2017 11/10/2017 195,039 195,000 39 5,000 000 JAMES RIV GROUP HLDGS LTD P 11/8/2017 11/6/2017 31,111 24,000 7,111 1,000 000 MONGODB INC CL A P 10/19/2017 10/19/2017 31,111 24,000 7,111 1,000 000 MONGODB INC CL A P 10/19/2017 10/19/2017 31,111 24,000 7,111 1,000 000 MABRIVA THERAPEUTICS AG P 3/20/2017 3/20/2017 8,188 8,500 (312) 1,000 000 MONGODB INC CL A P 10/27/2017 10/27/2017 10/27/2017 10,554 16,000 654 2,000 000 MONEWEGIAK CRUSE LINE HOLDINGS P 11/15/2017 11/17/2017 10,511 108,700 (389) 1,000 000 MONEWEGIAK CRUSE LINE HOLDINGS P 11/15/2017 11/17/2017 10,511 108,700 (389) 1,000 000 MONEWEGIAK CRUSE LINE HOLDINGS P 11/15/2017 11/27/2017 10,511 10,507 15,700 367 5,000 000 ONEMAIN HLDGS INC P 11/15/2017 11/2/2017 11/2/2017 115,509 128,750 (2,751) 5,000 000 ONEMAIN HLDGS INC P 11/15/2017 11/3/2017 11/3/2017 115,509 128,750 (2,751) 5,000 000 ORIGIN ENGINEERED CARBONS S A P 11/17/2017 11/2/2017 115/2017 115,500 41 15,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 11/3/2017 115,500 41 17,000 974 5,000 000 PC, GROUP HOLDINGS INC P 10/18/2017 10/20/2017 11/3/2017 117,700 974 5,000 000 PC, GROUP HOLDINGS INC P 10/18/2017 10/18/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/	1,000 000 GW PH	HARMACEUTICALS PLC ADR	P	12/7/2017	12/7/2017	123,866	115,000	8,866
\$,000 000 JAMES RIV GROUP HLOSS LTD P 11/8/2017 11/10/2017 195,039 195,000 39 \$,000 000 JAMEN TECHNICGY INC A P 11/16/2017 11/16/2017 11/16/2017 14,372 40,000 1,372 1,000 000 MARONGOB INC CL A P 10/19/2017 10/19/2017 8,188 8,500 (312) 1,000 000 NASRIVA THERAPEUTICS AG P 9/20/2017 9/20/2017 10/37/2017 16,554 16,000 654 1,000 000 NASRIVA THERAPEUTICS AG P 10/27/2017 10/27/2017 16,554 16,000 654 1,000 000 NASRIVA THERAPEUTICS AG P 10/27/2017 10/27/2017 16,554 16,000 654 1,000 000 NASRI RESOURCES SA P 10/27/2017 11/27/2017 16,551 16,500 188,700 1889] 1,000 000 OM ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 16,067 15,700 367 5,000 000 OMEMAIN HLOGS INC P 11/15/2017 11/27/2017 11/27/2017 11,0067 15,700 367 5,000 000 ORION ENGINEERED CARBONS S A P 12/4/2017 12/3/2017 11/5/501 11/5,501 11,550 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 11/8/2017 116,291 116,250 41 5,000 000 PATTERN ENRERY GROUP INC CLA P 19/28/2017 10/28/2017 11/37/4 117,000 974 5,000 000 PATTERN ENRERY GROUP INC CLA P 19/28/2017 10/28/2017 11/37/4 117,000 974 5,000 000 PATTERN ENRERY GROUP INC CLA P 10/18/2017 10/38/2017 80,781 60,000 2,0781 1,000 000 RIV GROUP INC MC ARBONS SA P 10/18/2017 10/38/2017 80,781 60,000 2,0781 1,000 000 RIV GROUP INC CLA P 10/18/2017 10/38/2017 80,781 60,000 2,0781 1,000 000 RIV GROUP INC CLA P 10/18/2017 10/38/2017 74,908 60,000 12,001 1,000 000 RIV GROUP INC CLA P 10/18/2017 10/38/2017 74,908 60,000 12,001 1,000 000 RIV GROUP INC CLA P 10/38/2017 10/38/2017 27,031 17,000 11,001 1,0	5,000 000 HOULI	IHAN LOKEY INC CL A	Р	10/25/2017	10/26/2017	207,035	210,000	(2,965)
5,000 000 JIANPUTECHNIGY INC A P 11/16/2017 11/16/2017 41,372 40,000 1,372 1,000 000 MONGOOB INC CL A P 10/19/2017 10/19/2017 3,1111 24,000 7,111 1,000 000 MONGOOB INC CL A P 9 10/19/2017 10/19/2017 8,188 8,500 (3132) 1,000 000 NEXA RESOURCES SA P 10/27/2017 10/27/2017 16,654 16,000 654 2,000 000 NORWEGISAN CRUISE LINE HOLDINGS P 11/15/2017 11/17/2017 16,651 108,700 (189) 1,000 000 ON ASSET MARKAGEMENT FLC P 11/15/2017 11/17/2017 11,000 11,000 000 ON ASSET MARKAGEMENT FLC P 11/15/2017 11/17/2017 12,599 128,750 (2,751) 5,000 000 ONEMAIN HLOGS INC P 12/13/2017 11/17/2017 12/5999 128,750 (2,751) 5,000 000 ONEMAIN HLOGS INC P 12/13/2017 11/16/2017 11/16/2017 11/16/2017 11/16/2017 11/16/2017 11/16/201	2,500 000 IQVIA	HOLDINGS INC	Р	11/28/2017	11/28/2017	255,638	255,625	13
1,000 000 MONGOOD INC CL A P 10/19/2017 10/19/2017 31,111 24,000 7,111 1,000 000 NABRIVAT HERAPEUTICS AG P 9/20/2017 9/20/2017 16,854 16,000 654 2,000 000 NASA RESOURCES SA P 10/27/2017 10/27/2017 16,854 16,000 654 2,000 000 NASA RESOURCES SA P 10/27/2017 11/17/2017 10/8,511 108,700 (189) 1,000 000 ON ANSE MARNAGEMENT PC P 11/15/2017 11/17/2017 10/8,511 108,700 15,700 367 5,000 000 ONEMAIN HILDGS NC P 11/15/2017 11/27/2017 12/14/2017 12.5999 128,750 (2,751) 5,000 000 ONEMAIN HILDGS NC P 11/13/2017 12/14/2017 12.5999 128,750 (2,751) 5,000 000 ORIGNA RESINEERED CARBONS S A P 12/4/2017 12/5/2017 115,541 115,500 41 5,000 000 ORIGNA RESINEERED CARBONS S A P 11/17/2017 11/2/2017 116,291 116,291 116,250 41 5,000 000 ORIGNA RESINEERED CARBONS S A P 11/17/2017 11/2/2017 116,291 116,291 117,000 974 5,000 000 ORIGNA RESINEERED CARBONS S A P 11/17/2017 10/20/2017 17/39/4 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 10/18/2017 10/18/2017 87,542 87,500 42 2,500 000 QUIDAN INC ADR P 10/18/2017 10/18/2017 87,542 87,500 42 2,500 000 QUIDAN INC ADR P 10/18/2017 10/18/2017 74,908 66,000 20,781 1,000 000 REV GROUP, INC P 10/13/2017 10/18/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/13/2017 10/18/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/13/2017 10/18/2017 27,253 17,000 10,031 500 000 RIVSTHM PHARMAGEUTICALS INC P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 RIVSTHM PHARMAGEUTICALS INC P 10/20/2017 10/20/2017 3,881 3,750 831 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 831 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 831 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 831 250 000 SEA LIMITED ADR P 10/20/2017 11/15/2017 45,348 40,000 5,720 5,000 000 SEA LIMITED ADR P 10/20/2017 11/15/2017 11/15/2017 45,348 40,000 5,720 5,000 000 SEA LIMITED ADR P 10/20/2017 11/15/20	5,000 000 JAMES	RIV GROUP HLDGS LTD	Р	11/8/2017	11/10/2017	195,039	195,000	39
1,000 000 NABRIVA THERAPFUTICS AG P 9/20/2017 9/20/2017 8,188 8,500 (312) 1,000 000 NEXA RESOURCES SA P 10/27/2017 10/27/2017 16,554 16,000 654 2,000 000 NORWEGIAN CRUISE LINE HOLDINGS P 11/15/2017 11/27/2017 16,511 108,700 1889) 1,000 000 OM ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 15,607 15,700 367 5,000 000 OMEMAIN HLOGS INC P 12/13/2017 12/14/2017 125,999 128,750 (2,751) 5,000 000 OMEMAIN HLOGS INC P 12/13/2017 12/14/2017 125,999 128,750 (2,751) 5,000 000 OMEMAIN HLOGS INC P 12/13/2017 11/27/2017 11/5/2017 11.5,541 11.5,500 41 5,000 000 OMION ENGINEERED CARBONS S A P 11/17/2017 11/6/2017 11/5/2017 11.6,291 11.6,250 41 5,000 000 OMION ENGINEERED CARBONS S A P 11/17/2017 11/6/2017 11/6/2017 11/5/301 11.6,291 11.6,250 41 5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 11/7,974 117,000 974 5,000 000 PG GROUP HOLDINGS INC P 9/2/20107 10/5/2017 87,542 87,500 42 2,500 000 QUIDAN INC ADR P 10/18/2017 10/18/2017 87,542 87,500 42 2,500 000 QUIDAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 27,031 17,000 110,031 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 27,031 17,000 110,031 500 000 REV GROUP, INC P 10/18/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/2/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/2/2017 9/2/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,884 3,750 84 250 000 SEA LIMITED ADR P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 THO THA HEARDEN INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 THO THA HEARDEN INC P 11/15/2017 11/15/2017 245,588 250,000 (14,412) 1,000 000 THO THA HEARDEN INC P 11/15/2017 11/20/2017 245,588 250,000 (14,412) 1,000 000 THO THA HEARDEN INC P 11/16/2016 8/14/2017 11/23/2017 245,588 250,000 (15,412) 1,000 000 THO THA HEARDEN I	5,000 000 JIANPU	J TECHNLGY INC A	P	11/16/2017	11/16/2017	41,372	40,000	1,372
1,000 000 NEXA RESDURCES SA P 10/27/2017 10/27/2017 10,554 16,000 654 2,000 000 NORWEGIAN CRUISE LINE HOLDINGS P 11/15/2017 11/17/2017 10,511 109,700 (189) 1,000 000 AM ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 12,599 122,750 (2,751) 5,000 000 OM ASSET MANAGEMENT PLC P 11/15/2017 12/14/2017 125,999 122,750 (2,751) 5,000 000 OMENAIN HLOGS INC P 12/13/2017 12/14/2017 125,999 128,750 (2,751) 5,000 000 OMENAIN HLOGS INC P 12/13/2017 12/14/2017 115,541 115,500 41 5,000 000 OMENO ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 116,291 116,291 116,250 41 5,000 000 OMENO ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 116,291 116,291 116,250 41 5,000 000 PATERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,374 117,000 394 5,000 000 PATERN ENERGY GROUP INC CL A P 10/18/2017 10/5/2017 87,542 87,500 42 2,500 000 QUIDIAN INC ADR P 10/18/2017 10/18/2017 87,542 87,500 42 2,500 000 QUIDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 27,253 27,250 3 1,000 000 RIVTHIN PHARMACEUTICALS INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 15,000 000 RIVTHIN PHARMACEUTICALS INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 500 000 RIVE EDUCATION INC P 10/20/2017 10/20/2017 10/20/2017 10/20/2017 5,577 7,255 1,272 1,000 000 ROKU INC COM CL A P 3/27/2017 9/27/2017 10/20/2017 13,062 9,250 3,812 5,000 000 SALDINITE CHONOLOGIES HOLDING P 11/17/2017 11/17/2017 11/17/2017 13,062 9,250 3,812 5,000 000 SALDINITE CHONOLOGIES HOLDING P 11/17/2017 11/17/2017 13,062 9,250 3,812 5,000 000 SALDINITE CHONOLOGIES HOLDING P 11/15/2017 11/15/2017 3,881 3,750 84 2,500 000 SEN LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 84 2,500 000 SEN LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 84 2,500 000 SEN LIMITED ADR P 11/15/2017 11/15/2017 11/15/2017 45,388 40,000 5,388 11,000 000 SEN LIMITED ADR P 11/15/2017 11/15/2017 11/15/2017 25,004 25,000 9 34 11/18/2010 11/18/2010 11/18/2017 25,000 9 34 11/18/2010 11/18/2017 25,000 9 34 11/18/	1,000 000 MONG	SODB INC CL A	Р	10/19/2017	10/19/2017	31,111	24,000	7,111
2,000 000 NORWEGIAN CRUISE LINE HOLDINGS P 11/15/2017 11/17/2017 108,511 108,700 (189) 1,000 000 OM ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 16,067 15,700 367 5,000 000 OMEMAIN HLOGS INC P 12/13/2017 12/14/2017 125,999 128,750 (2,751) 5,000 000 ORIGN ENGINEERED CARBONS S A P 12/4/2017 12/5/2017 115,541 115,500 41 5,000 000 ORIGN ENGINEERED CARBONS S A P 11/7/2017 11/8/2017 116,291 116,250 41 5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 10/18/2017 10/5/2017 87,542 87,500 42 2,500 000 QUIDAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUIDAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 1,000 000 REV GROUP, INC P 10/13/2017 10/18/2017 27,253 27,250 3 1,000 000 RIVE HARMACEUTICALS INC P 10/5/2017 10/5/2017 27,253 27,250 3 1,000 000 RIVE DUCATION CAYMAN LITD P 10/5/2017 10/5/2017 8,577 7,250 1,327 1,000 000 RIVE DUCATION INC ADR P 10/5/2017 10/5/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LITD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROW EN EDUCATION INC P 10/5/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 10/17/2017 3,881 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 84 2,500 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,220 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 20,644 20,669 34 10,000 000 TRIBUINE MEDIA COMPANY CLASS A P 11/13/2016 9/13/2017 10/20,491 10,04,969 11/20,600 11/20,000 1	1,000 000 NABRI	VA THERAPEUTICS AG	Р	9/20/2017	9/20/2017	8,188	8,500	(312)
1,000 000 OM ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 16,067 15,700 367 5,000 000 ONEMAIN HLOGS INC P 12/13/2017 12/34/2017 125,999 128,750 (2,751) 5,000 000 ORION ENGINEERED CARBONS S A P 12/4/2017 12/5/2017 115,541 115,500 41 15,000 000 ORION ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 115,541 115,500 41 15,000 000 ORION ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 116,291 116,295 41 15,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/18/2017 87,542 87,500 42 2,500 000 QUIDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUIDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 RIVITHM PHARMACEUTICALS INC P 10/15/2017 10/6/2017 27,031 17,000 10,031 500 000 RIVITHM PHARMACEUTICALS INC P 10/15/2017 10/6/2017 27,031 17,000 10,031 500 000 RIVITHM PHARMACEUTICALS INC P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 RIVITHM PHARMACEUTICALS INC P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 RIVITHM PHARMACEUTICALS INC P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 RIVITHM PHARMACEUTICALS INC P 3/28/2017 9/28/2017 26,533 14,000 12,533 500 000 RIVITH ECHNOLOGIES HOLDING P 11/17/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SALIPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,884 250 000 SALIPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 5,720 1,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 1,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 1,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SINDERID INC P 11/15/2017 11/15/2017 28,818 82,000 11/12 1,000 000 TRIBUNE MEDIA COMPANY CLASS A P 11/26/2017 11/26/2017 250,004 250,000 91 250,000 15 HOLDING CORP P 11/15/2017 11/15/2017 28,818 82,000 11/12 1,000 000 TRIBUNE MEDIA COMPANY CLASS A P 11/26/2017 11/26/20	1,000 000 NEXA	RESOURCES SA	Р	10/27/2017	10/27/2017	16,654	16,000	654
5,000 000 ONEMAIN HLDGS INC P 12/33/2017 12/14/2017 125,999 128,750 (2,751) 5,000 000 ORION ENGINEERED CARBONS S A P 12/4/2017 12/5/2017 115,541 115,500 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/7/2017 11/8/2017 116,291 116,250 41 5,000 000 PQ GROUP HOLDINGS INC P 10/18/2017 10/18/2017 117,974 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 10/18/2017 10/18/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,761 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 12,901 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 27,031 17,000 110,31 500 000 RISE EDUCATION CAYMAN LTD P 10/5/2017 10/6/2017 27,031 17,000 110,31 500 000 RISE EDUCATION INC P 9 3/28/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 3/28/2017 9/27/2017 10/20/2017 8,577 7,250 1,327 5,000 000 GRUE EDUCATION INC P 9/28/2017 9/27/2017 10/20/2017 10/20/2017 8,577 7,250 1,327 5,000 000 SAIL/DINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 5EA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 5EA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 231 250 000 5EA LIMITED ADR P 10/20/2017 10/20/2017 3,884 40,000 5,348 10,000 005 RIND REDUCATION INC P 11/15/2017 11/15/2017 45,200 40,000 5,348 10,000 005 RIND REDUCATION INC P 11/15/2017 11/15/2017 45,200 40,000 5,348 10,000 005 RIND REDUCATION INC P 11/15/2017 11/15/2017 45,388 40,000 5,348 10,000 005 RIND REDUCATION INC P 11/15/2017 11/15/2017 226,644 20,609 34 10,000 005 RIND REDUCATION INC P 11/15/2017 11/15/2017 246,588 250,000 (14,122) 1,000 000 OTHING REDUCATION INC P 11/15/2017 11/15/2017 246,588 250,000 (14,122) 1,000 000 OTHING REDUCATION INC P 11/15/2017 11/15/2017 246,588 250,000 (14,122) 1,000 000 OTHING REDUCATION INC P 11/15/2017 11/15/2017 246,588 250,000 (14,122) 1,000 000 OTHING REDUCATION INC P 11/15/2017 11/15/2017 246,588 250,000 (14,122) 1,000 000 OTHING	2,000 000 NORW	EGIAN CRUISE LINE HOLDINGS	ρ	11/15/2017	11/17/2017	108,511	108,700	(189)
5,000 000 ORION ENGINEERED CARBONS S A P 12/4/2017 12/5/2017 115,541 115,500 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/7/2017 11/8/2017 116,291 116,250 41 5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 3/29/2017 10/5/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 PQ GROUP HOLDINGS INC P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/18/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/13/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/6/2017 27,031 17,000 11,031 500 000 ROWLING COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RISE EDUCATION INC P 8/27/2017 10/20/2017 13,062 9,250 3,812 5,000 000 SALIPOINT EICHNOLOGIES HOLDING P 11/17/2017 10/20/2017 3,891 3,750 231 250 000 SALIPOINT EICHNOLOGIES HOLDING P 11/17/2017 10/20/2017 3,891 3,750 84 2,500 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,891 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 11/2017 11/2017 20,644 20,609 34 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 11/2017 20,644 20,609 34 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 11/2017 11/2017 28,818 28,300 518 11/2000 000 US FOODSRID INC P 11/15/2017 11/2017 11/2017 11/2017 28,818 28,300 518 11/2000 000 US FOODSRID INC P 11/15/2017 11/2017 11/2017 11/2017 11/2017 28,818 28,300 518 11/2000 000 US FOODSRID INC P 11/129/2017 11/201017 11/2017 11/2017 11/2017 11/2017 11/2017 11/2017 11/2017 11/2017 28,818 28,300 518 11/2000 000 US FOODSRID INC P 11/2016 8/14/2017 11/2017 11/204,904 12,041,060 53,944 11/2000 000 US FOODSRI	1,000 000 OM AS	SSET MANAGEMENT PLC	Р	11/15/2017	11/27/2017	16,067	15,700	367
5,000 000 GRION ENGINEERED CARBONS S A P 11/7/2017 11/8/2017 116/291 116,250 41 5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 PAG GROUP HOLDINGS INC P 9/29/2017 10/5/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/18/2017 72,253 27,250 3 1,000 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 27,031 17,000 10,031 500 000 REV GROUP, INC P 10/13/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/6/2017 27,031 17,000 112,533 500 000 RVU INC COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RVU INC COM CL A P 9/28/2017 9/29/2017 13,062 9,250 3,812 5,000 000 SAU INTEC ADR P 10/20/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 231 2,500 000 SENDGRID INC P 11/15/2017 10/20/2017 3,881 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,748 40,000 5,348 10,000 000 SPIDIR REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TWO HARBORS INVSTMIT C 7 25%-C P 11/16/2017 11/15/2017 248,588 250,000 (1,412) 1,000 000 UNO HARBORS INVSTMIT C 7 25%-C P 11/16/2017 11/13/00217 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 10,08,684 566,006 472,858 1,500 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,094,994 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 8/14/2017 1,094,994 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,994 1,041,000 53,994	5,000 000 ONEM	IAIN HLDGS INC	Р	12/13/2017	12/14/2017	125,999	128,750	(2,751)
5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 9/29/2017 10/5/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 RIYTHM PHARMACEUTICALS INC P 10/5/2017 10/6/2017 27,031 17,000 110,031 500 000 RIYTHM PHARMACEUTICALS INC P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 19/28/2017 9/28/2017 26,533 14,000 12,533 500 000 RIVE INC COM CL A P 9/28/2017 9/28/2017 26,533 14,000 12,533 500 000 RNB EDUCATION INC P 9/27/2017 9/28/2017 13,062 9,250 3,812 5,000 000 SALIPOINT TECHNOLOGIES HOLDING P 11/13/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,248 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/28/2017 250,094 250,000 94 500 000 SENDGRID INC P 11/15/2017 11/15/2017 20,644 20,609 34 10,000 000 UNO HARBORS INVSTMIT C 7 25%-C P 11/16/2017 11/20/2017 28,818 28,300 (1,412) 1,000 000 UNO HARBORS INVSTMIT C 7 25%-C P 11/16/2017 11/2017 11/30/2017 28,818 28,300 (1,412) 1,000 000 UNO HARBORS INVSTMIT C 7 25%-C P 11/16/2017 11/30/2017 77,793 10,21,99 (24,406) 25,000 000 EDITAS MEDICINE INC P 11/15/2016 9/13/2017 11/30/2017 77,793 10,21,99 (24,406) 25,000 000 TENCENT HLIGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/18/2017 1,094,994 1,041,000 53,384 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/18/2017 1,094,994 1,041,000 53,384	5,000 000 ORION	ENGINEERED CARBONS S A	Р	12/4/2017	12/5/2017	115,541	115,500	41
\$,000 000 PQ GROUP HOLDINGS INC P 9/29/2017 10/5/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/18/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/13/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LYD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 RISE EDUCATION CAYMAN LYD P 10/20/2017 9/29/2017 26,533 14,000 12,533 500 000 RISE EDUCATION INC P 8/27/2017 9/29/2017 13,062 9,250 3,812 5,000 000 SALIPOINT TECHNOLOGIES HOLDING P 11/13/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBURE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 28,818 28,300 518 1,000 000 TRIBURE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDUTAS MEDICINE INC P 11/15/2016 9/13/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLOSS LTD UNSPON ADR P 6/6/2016 8/14/2017 51,9432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/13/2017 1,094,994 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/13/2017 1,094,994 1,041,000 53,994	5,000 000 ORION	ENGINEERED CARBONS S A	P	11/7/2017	11/8/2017	116,291	116,250	41
2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,031 17,000 10,031 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,031 17,000 10,031 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,031 17,000 10,031 1,000 000 REV EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RISE EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAL LIMITED ADR P 10/20/2017 10/20/2017 69,854 60,000 9,854 25,000 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SRINDERID INC P 11/15/2017 11/15/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,669 34 10,000 000 TWO HARRORS INVSTINT C 7 25%-C P 11/16/2017 11/22/2017 246,588 250,000 (1,412) 1,000 000 TWO HARRORS INVSTINT C 7 25%-C P 11/16/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 10,30/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 519,432 298,878 220,554 1,000,000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 10/2017 1,094,244 1,041,060 53,184 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2017 1,094,244 1,041,060 53,184	5,000 000 PATTE	RN ENERGY GROUP INC CL A	P	10/18/2017	10/20/2017	117,974	117,000	974
2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 50,000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/28/2017 9/28/2017 26,533 14,000 12,533 500 000 RNB EDUCATION INC P 9/27/2017 19/20/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/13/2017 11/13/2017 13,062 9,250 3,812 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 10,000 000 TRIBUINE MEDIA COMPANY CLASS A P 11/30/2017 11/20/2017 246,588 250,000 (1,412) 1,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/20/2017 246,588 250,000 (1,412) 1,000 000 TENDERNE MEDIA COMPANY CLASS A P 11/20/2017 11/20/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 TENDERN HEDIA COMPANY CLASS A P 11/29/2017 11/20/2017 17/2017 15,348 12,500 000 TENDERNE HEDIA COMPANY CLASS A P 11/29/2017 11/20/2017 11/20/2017 15,000 2TO EXPRESS P 10/27/2016 9/13/2017 15,000 77,793 102,199 (24,406) 25,000 000 TENDERN HEDIGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500 000 TENDERN HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 1,094,944 1,041,000 53,994 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,944 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,944 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,944 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,944 1,041,000 53,994 10,000 000 WESTERN DIGITAL C	5,000 000 PQ GR	OUP HOLDINGS INC	Р	9/29/2017	10/5/2017	87,542	87,500	42
1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 RHYTHM PHARMACEUTICALS INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SEADIMITED ADR P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A 10,000 000 TWO HARBORS INVSTMINT C 7 25%-C P 11/16/2017 11/20/2017 28,818 28,300 518 1,150 000 TO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,466) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,034,994 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/18/2017 1,094,994 1,041,000 53,994 10,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/18/2017 1,094,994 1,041,000 53,994	2,500 000 QUDIA	AN INC ADR	Р	10/18/2017	10/18/2017	80,781	60,000	20,781
1,000 000 RHYTHM PHARMACEUTICALS INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SYB EDUCATION INC P 9/27/2017 11/17/2017 11/17/2017 13,062 9,250 3,812 5,000 000 SALLPOINT TECHNOLOGIES HOLDING P 11/11/2017 11/17/2017 13,062 9,250 3,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMIT C 7 25%-C P 11/16/2017 11/22/2017 246,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 18,602 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 9/13/2017 15,9432 298,878 220,554 1,000,000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,944 1,041,060 53,184	2,500 000 QUDIA	AN INC ADR	Р	10/18/2017	10/18/2017	74,908	60,000	14,908
500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 10,000 000 TRIBUNE MEDIA COMPANY CLASS A P 11/15/2017 11/22/2017 2	1,000 000 REV G	ROUP, INC	Ρ	10/13/2017	10/13/2017	27,253	27,250	3
1,000 000 ROKU INC COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500,000 UNSFORD HOLDING CORP P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500,000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,034,944 1,041,060 53,984 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,944 1,041,060 53,184	1,000 000 RHYTH	IM PHARMACEUTICALS INC	Р	10/5/2017	10/6/2017	27,031	17,000	10,031
500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,500 000 TE FOODS HOLDING CORP P 11/29/2017 11/30/2017	500 000 RISE E	DUCATION CAYMAN LTD	P	10/20/2017	10/20/2017	8,577	7,250	1,327
5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC	1,000 000 ROKU	INC COM CL A	Ρ	9/28/2017	9/29/2017	26,533	14,000	12,533
250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,881 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500,000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500,000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,994 1,041,060 53,184 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,994 1,041,060 53,184	500 000 RYB ED	DUCATION INC	Р	9/27/2017	9/27/2017	13,062	9,250	3,812
250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,184	5,000 000 SAILPO	DINT TECHNOLOGIES HOLDING	Ρ	11/17/2017	11/17/2017	69,854	60,000	9,854
2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,320 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,994 1,041,000 53,984 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	250 000 SEA LI	MITED ADR	P	10/20/2017	10/20/2017	3,981	3,750	231
2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	250 000 SEA LI	MITED ADR	P	10/20/2017	10/20/2017	3,834	3,750	84
10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	2,500 000 SENDO	GRID INC	P	11/15/2017	11/15/2017	45,720	40,000	5,720
500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,184 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	2,500 000 SENDO	GRID INC	ρ	11/15/2017	11/15/2017	45,348	40,000	5,348
10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	10,000 000 SPIRIT	REALTY CAP 6 000 12-31-19	P	9/26/2017	9/29/2017	250,094	250,000	94
1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,184 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	500 000 TRIBU	NE MEDIA COMPANY CLASS A	P	11/30/2017	11/30/2017	20,644	20,609	34
1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,184 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	10,000 000 TWO H	HARBORS INVSTMNT C 7 25%-C	P	11/16/2017	11/22/2017	248,588	250,000	(1,412)
3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	1,000 000 US FO	ODS HOLDING CORP	P	11/29/2017	11/30/2017	28,818	28,300	518
25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	1,150 000 ZTO EX	KPRESS	P	10/27/2016	9/13/2017	16,028	22,425	(6,397)
12,500.000 TENCENT HLDGS LTD UNSPON ADR P 7/18/2016 8/14/2017 519,432 298,878 220,554 1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	3,200 000 EDITAS	S MEDICINE INC	P	3/2/2016	10/10/2017	77,793	102,199	(24,406)
1,000,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 10/2/2017 1,094,994 1,041,000 53,994 100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	25,000 000 TENCE	NT HLDGS LTD UNSPON ADR	P	6/6/2016	8/14/2017	1,038,864	566,006	472,858
100,000 000 WESTERN DIGITAL C 7 3/8 4-01-23 P 6/1/2016 9/19/2017 1,094,244 1,041,060 53,184	12,500.000 TENCE	NT HLDGS LTD UNSPON ADR	P	7/18/2016	8/14/2017	519,432	298,878	220,554
——————————————————————————————————————	1,000,000 000 WESTE	ERN DIGITAL C 7 3/8 4-01-23	P	6/1/2016	10/2/2017	1,094,994	1,041,000	53,994
Total 7,468,333 6,568,916 899,417	100,000 000 WESTE	ERN DIGITAL C 7 3/8 4-01-23	P	6/1/2016	9/19/2017	1,094,244	1,041,060	53,184
	Total				=	7,468,333	6,568,916	899,417